

## DISCLOSURE OF INVESTIGATORS' FINANCIAL INTERESTS

University of California, Irvine  
Institutional Review Board

### Study Title:

In order to inform research subjects of all circumstances that may affect their decision about whether to participate, all researchers are required to disclose any financial interests they may have related to this study. IRB approval will be delayed until each positive disclosure (i.e., each affirmative response below) has been reviewed by the Conflict of Interest Oversight Committee (COIOC) and approved by the Vice Chancellor for Research. For details, see <http://www.rgs.uci.edu/cg/hspr.htm>.

**To complete this form, the Lead Researcher must ask all UCI personnel listed in sections D, E, F, and G of the protocol the following question: “Do you, your spouse, or dependent children have any significant\* financial interests related\*\* to the work to be conducted as part of the above-referenced project?”**

**\*Significant Financial Interests:** With respect to any single entity external to the University of California whose business interests are related to the results of this study, researchers are deemed to have significant financial interests if they, their spouses, or their dependent children have any of the following interests:

- Outside income equal to or exceeding \$10,000 over the preceding twelve months or anticipated during the forthcoming twelve months. Income includes salary, consultant payments, honoraria, royalty payments, dividends, loan, or any other payments or consideration with value, including payments made to the University Health Sciences Compensation Plan.
- Equity in the form of stock, stock options, real estate, loan to, or any other investment or ownership interest equal to or exceeding \$10,000 (current market value) or a 5% or greater ownership interest
- A management position (e.g., director, officer, partner, or trustee) with the interested entity
- An intellectual property interest, e.g., a patent (actual, planned, or applied for) or a copyright for software assigned or to be assigned to a party other than the Regents

**\*\*Related Financial Interests:** Related interest occurs when the investigator has Significant Financial Interests that would reasonably appear to be affected by the research or in entities whose financial interests would reasonably appear to be affected by the research. Examples include situations where the investigator:

- is conducting a project where the results could be relevant to the development, manufacturing or improvement of the products or services of the entity in which the investigator has a financial interest; or
- has a financial interest in an entity that might manufacture or commercialize a drug, device, procedure, or any other product used in the project or that will predictably result from the project; or
- has consulting income in his/her professional field where the financial interest of the entity or the investigator would reasonably appear to be affected by the project; or
- has a financial interest in an entity and the project proposes to subcontract a portion of the work, or lease property, or make referral of participants to, or make purchases from the entity, or the entity is part of a consortium or will otherwise participate in the project.

**Any member of the study team who answers in the affirmative must be listed in the box below.**

A COIOC staff person will contact any researcher listed below to obtain additional information regarding the specific financial interest(s).

**Name(s) of UCI Personnel on Study Team who have Significant Financial Interests**

(Include Lead Researcher, Co-Investigators, Research Personnel and, if applicable, Faculty Sponsor)

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I certify that all members of the study team have answered the financial interests question and only those individuals listed in the box above have disclosed any financial interest related to this study.

**Signature of Lead Researcher:**

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